# **B. VOC. (BANKING, FINANCIAL SERVICES & INSURANCE)** Third Semester

#### **Business Operations & Administration**

| Course Code:         | BFSI                                 |
|----------------------|--------------------------------------|
| Paper Code:          | BF301T                               |
| <b>Course Title:</b> | Business Operations & Administration |

**Learning Objective:** The objective of this paper is to introduce the student with facets of business operations and administration.

| Unit | Details  | Contact<br>Hours |
|------|--|------------------|
| I    | Business Operations - Concept, definition and types.<br>Management of Business Operations.<br>Understanding of Internal and External Factors of Business<br>Environment  | 9                |
| II   | Products and Services:<br>Concept, Definition and Features and classification of Products.<br>Concept, Definition and Features of Services, Difference between<br>Product and Service.   | 9                |
| Ш    | Types of Organisation:<br>Meaning and Definition of Organisation.<br>Characteristics and Significance of Organisation.<br>Types of Organisation.   | 9                |
| IV   | Business Correspondence:<br>Meaning, Principles and Significance of Business Correspondence.<br>Qualities of Good Business Correspondence.<br>Forms and Types of Common Business Letters.  | 9                |
| V    | Functional Areas of Management - Meaning, Definition and<br>Importance.<br>Production Management.<br>Financial Management.<br>Marketing Management.<br>Human Resource Management.<br>Information Technology.<br>Organizational Behaviour | 9                |

Learning Outcome: The student will be aware general business operations and administrative activities.

# Scheme of Examination:

### Continuous Assessment (MM: 100)

The continuous assessment shall be done by the concerned faculty based on mid-term test (40 marks); demonstration / presentation (40 marks) and attendance / punctuality / personality traits (20 marks)

### End term Assessment (MM: 100)

The End Term Assessment of educational component shall be carried out by the University in the form of written examination whose duration shall be 3 hours as per following examination paper pattern:

Section – A: Ten questions of 2 mark each. Student shall be required to attempt all. Section – B: Eight questions of 10 marks each out of which student shall be required to attempt any Four.

Section – C: Four questions of 20 marks each out of which student shall be required to attempt any two.

### **Suggested Readings:**

Tulsian P.C., Business Organization and Management, Pearson (India) Pvt. Ltd. Mishra, Gupta, Business Organization and Management, SBPD Publishing House

## **Business Operations & Administration Lab.**

| Course Code:  | BFSI                                      |
|---------------|---|
| Paper Code:   | BF301P                                    |
| Course Title: | Business Operations & Administration Lab. |

**Learning Objective:** The objective of this paper is to make student professionally comfortable with business operations and administrative activities.

| Activity | Details  |  |
|----------|--|--|
| 1        | Prepare a chart on different types of joint stock companies with examples.           |  |
|          | Visit nearby business enterprises / companies and prepare a report on the following: |  |
| 2        | a) Nature and Type of business being run by them.                                    |  |
|          | b) Products and Services provided by them.   |  |
| 3        | Role play on understanding the concept of Formal and Informal Communication.         |  |
| 4        | Presentation of Report   |  |
| 5        | Viva   |  |

Learning Outcome: Students will be able to perform the basic operation of mass communication through the computer.

## Scheme of Examination:

### **Continuous Assessment (MM: 100)**

The continuous assessment shall be done by the concerned faculty based on mid-term test (20 marks); demonstration / presentation (20 marks) and attendance / punctuality / personality traits (10 marks)

# End term Assessment (MM: 100)

The student will prepare and submit a report on a topic / problem under supervision of faculty member to be allotted by Principal / Director of the Institute. The student will make a demonstrative presentation before a panel of concern supervisor and an examiner nominated by assigned by Principal / Director of the Institute.

## Indian Financial System – I

| Course Code:         | BFSI                        |
|----------------------|-----------------------------|
| Paper Code:          | BF302T                      |
| <b>Course Title:</b> | Indian Financial System – I |

Pre-Requisite: Indian Securities Markets - I

**Learning Objective:** The objective of this paper is to introduce the student to the basic structure of Indian Financial System.

| Unit | Details   | Contact |
|------|---|---------|
|      |   | Hours   |
| Ι    | Introduction of Indian Financial System: Functions and major issues | 9       |
| II   | Commercial Banks: Functions, Importance, challenges                 | 9       |
| III  | Development Banks: Functions, Importance, challenges                | 9       |
| IV   | Investment Banks: Functions, Importance, challenges                 | 9       |
| V    | Financial Markets: Role, types, functions, importance, major issues | 9       |

**Learning Outcome:** The student will understand the financial system of country within which BFSI activities take place.

#### Scheme of Examination:

#### **Continuous Assessment (MM: 100)**

The continuous assessment shall be done by the concerned faculty based on mid-term test (40 marks); demonstration / presentation (40 marks) and attendance / punctuality / personality traits (20 marks)

#### End term Assessment (MM: 100)

The End Term Assessment of educational component shall be carried out by the University in the form of written examination whose duration shall be 3 hours as per following examination paper pattern:

Section – A: Ten questions of 2 mark each. Student shall be required to attempt all.

Section – B: Eight questions of 10 marks each out of which student shall be required to attempt any Four.

Section – C: Four questions of 20 marks each out of which student shall be required to attempt any two.

## **Suggested Readings:**

Machiraju H.R., Indian Financial System, Vikas Publishing House. Desai V., Fundamentals of Indian Financial System, Himalaya Publishing House.

# Risk Management – I

| <b>Course Code:</b>  | BFSI                |
|----------------------|---------------------|
| Paper Code:          | BF303T              |
| <b>Course Title:</b> | Risk Management – I |

Pre-Requisite: Indian Securities Markets - I

**Learning Objective:** The objective of this paper is to introduce the student to concept of risk and enterprise risk management.

| Unit | Details   | Contact |
|------|---|---------|
|      |   | Hours   |
|      | Concepts of Risk                                      |         |
| Ι    | Definitions of Risk and Risk Management               | 9       |
|      | Approaches to defining risk                           |         |
|      | Impact of risk on organisations                       |         |
| II   | Types of risk   | 9       |
|      | Development of risk management                        |         |
|      | Principles and aims of risk management                |         |
| III  | General risk management standards and risk management | 9       |
|      | frameworks  |         |
|      | Alternative risk management approaches                |         |
| IV   | Enterprise risk management overview                   | 9       |
|      | Implementing ERM                                      |         |
| V    | Establishing the context for risk management          | 9       |
|      | Establishing the context for fisk management          |         |

Learning Outcome: The student will be able to deal with risk in BFSI activities.

### Scheme of Examination:

### **Continuous Assessment (MM: 100)**

The continuous assessment shall be done by the concerned faculty based on mid-term test (40 marks); demonstration / presentation (40 marks) and attendance / punctuality / personality traits (20 marks)

### End term Assessment (MM: 100)

The End Term Assessment of educational component shall be carried out by the University in the form of written examination whose duration shall be 3 hours as per following examination paper pattern:

Section – A: Ten questions of 2 mark each. Student shall be required to attempt all.

Section – B: Eight questions of 10 marks each out of which student shall be required to attempt any Four.

Section – C: Four questions of 20 marks each out of which student shall be required to attempt any two.

### **Suggested Readings:**

Risk Management, Indian Institute of Banking & Finance (IIBF). Arunajatesan S., Risk Management in Finance, Vikas Publilshing House.

## **Fundamentals of Retailing**

| <b>Course Code:</b>  | BFSI                      |
|----------------------|---------------------------|
| Paper Code:          | BF304T                    |
| <b>Course Title:</b> | Fundamentals of Retailing |

**Learning Objective:** This course intends to acquaint the student with basic principles of Retailing as a format of business.

| Unit | Details   | Contact |
|------|---|---------|
| -    |   | Hours   |
| Т    | Retailing – Concept, Definitions, Functions, Types of Retailing, Important  | 6       |
| 1    | Terms and formats.  | 0       |
| II   | Importance of retailing, Retailing channels, Retail Marketing research      | 6       |
|      | Customer behaviour in retail sector, Customer decision process, Types of    |         |
| III  | decisions, Factors affecting the buying behaviour of customers in retail    | 6       |
|      | sector, Buying Process in retail sector.                                    |         |
| IV   | Retail location: Types of Retail Locations, Factors determining the retail  | 6       |
| 1 V  | location.   | 0       |
|      |   |         |
| V    | Changing scenario in Retailing, Present status of Retail Industry in India. | 6       |
|      |   |         |

**Learning Outcome:** The student will understand the retailing as a business format and retail market in detail and its various facets.

# Scheme of Examination:

### **Continuous Assessment (MM: 100)**

The continuous assessment shall be done by the concerned faculty based on mid-term test (40 marks); demonstration / presentation (40 marks) and attendance / punctuality / personality traits (20 marks)

# End term Assessment (MM: 100)

The End Term Assessment of educational component shall be carried out by the University in the form of written examination whose duration shall be 3 hours as per following examination paper pattern:

Section – A: Ten questions of 2 mark each. Student shall be required to attempt all.

Section – B: Eight questions of 10 marks each out of which student shall be required to attempt any Four.

Section – C: Four questions of 20 marks each out of which student shall be required to attempt any two.

# **Suggested Readings:**

- 1. Madaan K.V.S., Fundamentals of Retailing, Tata McGraw Hill Education, New Delhi.
- 2. Cox Roger and Paul Brittain Paul, Retailing An Introduction, Pearson Education, New Delhi.

### **Loan Processing Officer**

| Course Code:  | BFSI                    |
|---------------|-------------------------|
| Paper Code:   | BF3A1S                  |
| Course Title: | Loan Processing Officer |

#### Continuous Assessment of Skill (on the Job/self) (MM: 100):

The continuous assessment of skill component shall be done by the concerned officer at industry partner based on Viva-voce (20 marks); demonstration / presentation (20 marks); and attendance / punctuality / personality traits (10 marks)

### End term Assessment of Skill – On the Job/Self.: (MM: 100):

The End Term Assessment of skill component shall be carried out by a panel comprising of a faculty nominated by Director / HOD of the college, an officer nominated by industry partner and external examiner appointed by the University.

### Life Insurance Agent

| Course Code:         | BFSI                 |
|----------------------|----------------------|
| Paper Code:          | BF3A2S               |
| <b>Course Title:</b> | Life Insurance Agent |

#### Continuous Assessment of Skill (on the Job/self) (MM: 100):

The continuous assessment of skill component shall be done by the concerned officer at industry partner based on Viva-voce (20 marks); demonstration / presentation (20 marks); and attendance / punctuality / personality traits (10 marks)

#### End term Assessment of Skill – On the Job/Self.: (MM: 100):

The End Term Assessment of skill component shall be carried out by a panel comprising of a faculty nominated by Director / HOD of the college, an officer nominated by industry partner and external examiner appointed by the University.

#### **Micro Finance Executive**

| Course Code:         | BFSI                    |
|----------------------|-------------------------|
| Paper Code:          | BF3A3S                  |
| <b>Course Title:</b> | Micro Finance Executive |

## Continuous Assessment of Skill (on the Job/self) (MM: 100):

The continuous assessment of skill component shall be done by the concerned officer at industry partner based on Viva-voce (20 marks); demonstration / presentation (20 marks); and attendance / punctuality / personality traits (10 marks)

### End term Assessment of Skill – On the Job/Self.: (MM: 100):

The End Term Assessment of skill component shall be carried out by a panel comprising of a faculty nominated by Director / HOD of the college, an officer nominated by industry partner and external examiner appointed by the University.