



# **FACULTY OF FINANCIAL & COMMERCIAL SKILLS**

# **SCHEME AND SYLLABUS**

(APPROVED BY ACADEMIC COUNCIL)

**FOR** 

**ADVANCE DIPLOMA (BANKING, FINANCIAL SERVICES & INSURANCE)** 

(Effective form Session: 2019-20)



#### Banking - I (BF101T)

<b>Course Code:</b>	BFSI
Paper Code:	BF101T
<b>Course Title:</b>	Banking – I

**Learning Objective:** The objective of this paper is to make student understand the structure of financial system and its various components.

Unit	Details	Contact
		Hours
I	Overview of Bank Domain in India, Role of financial institutions in an economy	6
II	Types of Financial Institutions in India, Structure of Indian banking industry	6
III	Reserve Bank of India (RBI): role and functions, Introduction to Non Banking Finance Companies (NBFC),	6
IV	Banker – Customer Relationship, Know your customer (KYC) guidelines	6
V	Overview of Basic Banking products and services, Financial transaction instruments	6

**Learning Outcome:** The student will be aware of Indian financial system and banking as its component.

#### **Scheme of Examination:**

#### **Continuous Assessment (MM: 100)**

The continuous assessment shall be done by the concerned faculty based on mid-term test (40 marks); demonstration / presentation (40 marks) and attendance / punctuality / personality traits (20 marks)

# End term Assessment (MM: 100)

The End Term Assessment of educational component shall be carried out by the University in the form of written examination whose duration shall be 3 hours as per following examination paper pattern:

Section – A: Ten questions of 2 mark each. Student shall be required to attempt all.

Section – B: Eight questions of 10 marks each out of which student shall be required to attempt any Four.

Section – C: Four questions of 20 marks each out of which student shall be required to attempt any two.

### **Suggested Readings:**

- 1. Bhole L M, Financial Institutions and Markets
- 2. D.K. Murthy and K R Venugopal, Indian Financial System
- 3. M Y Khan, Indian Financial System
- 4. Vinod Kumar, Atul Gupta and Manmeet Kaur, Financial Markets Institutions and Financial Services
- 5. Das S C, The Financial System in India: Markets, Instruments, Institutions, Services and Regulations
- 6. Desai, Vasant Fundamentals of the Indian Financial System- New Challenges, New 2005 vi Initiatives, Himalaya Publishing House, New Delhi



### **Indian Securities Markets – I** (BF102T)

Course Code:	BFSI
Paper Code:	BF102T
<b>Course Title:</b>	Banking and Finance – I

**Learning Objective:** The objective of this paper is to introduce the student to securities market and mutual fund market in India.

Unit	Details	Contact
		Hours
I	Overview of Indian Securities Market in India, Role of securities market in an economy	6
II	Overview of Mutual Funds in India, Role of mutual funds in an economy	6
III	Securities Exchange Board of India (SEBI): role and functions	6
IV	Institution – Customer Relationship, Know your customer (KYC) guidelines	6
V	Types of securities and mutual funds, security transaction instruments	6

**Learning Outcome:** The student will learn the basics of securities and mutual funds markets for investment activities.

### **Scheme of Examination:**

#### **Continuous Assessment (MM: 100)**

The continuous assessment shall be done by the concerned faculty based on mid-term test (40 marks); demonstration / presentation (40 marks) and attendance / punctuality / personality traits (20 marks)

# End term Assessment (MM: 100)

The End Term Assessment of educational component shall be carried out by the University in the form of written examination whose duration shall be 3 hours as per following examination paper pattern:

- Section A: Ten questions of 2 mark each. Student shall be required to attempt all.
- Section B: Eight questions of 10 marks each out of which student shall be required to attempt any Four.
- Section C: Four questions of 20 marks each out of which student shall be required to attempt any two.

# **Suggested Readings:**

- 1. Bhole L M, Financial Institutions and Markets
- 2. D.K. Murthy and K R Venugopal, Indian Financial System
- 3. Desai, Vasant Fundamentals of the Indian Financial System- New Challenges, New 2005 vi Initiatives, Himalaya Publishing House, New Delhi
- 4. M Y Khan, Indian Financial System
- 5. Vinod Kumar, Atul Gupta and Manmeet Kaur, Financial Markets Institutions and Financial Services
- 6. Das S C , The Financial System in India: Markets, Instruments, Institutions, Services and Regulations



#### Micro Finance - I

<b>Course Code:</b>	BFSI
Paper Code:	BF103T
<b>Course Title:</b>	Micro Finance – I

**Learning Objective:** The objective of this paper is to make student understand the structure of financial system and its various components.

Unit	Details	Contact
		Hours
I	Overview of Micro finance, need and scope of micro finance and micro finance institutions,	9
II	Evolution of microfinance in India/Mainstream microfinance institutions	9
III	Different models of microfinance/SHGs – their need and importance	9
IV	Organisation structure of micro finance institutions	9
V	Products & Services offered by micro finance institutions, Customers served by micro finance institutions	9

**Learning Outcome:** The student will be aware of Indian financial system and banking as its component.

### **Scheme of Examination:**

### **Continuous Assessment (MM: 100)**

The continuous assessment shall be done by the concerned faculty based on mid-term test (40 marks); demonstration / presentation (40 marks) and attendance / punctuality / personality traits (20 marks)

# End term Assessment (MM: 100)

The End Term Assessment of educational component shall be carried out by the University in the form of written examination whose duration shall be 3 hours as per following examination paper pattern:

- Section A: Ten questions of 2 mark each. Student shall be required to attempt all.
- Section B: Eight questions of 10 marks each out of which student shall be required to attempt any Four.
- Section C: Four questions of 20 marks each out of which student shall be required to attempt any two.

### **Suggested Readings:**

- 1. Bhole L M, Financial Institutions and Markets
- 2. D.K. Murthy and K R Venugopal, Indian Financial System
- 3. M Y Khan, Indian Financial System
- 4. Vinod Kumar, Atul Gupta and Manmeet Kaur, Financial Markets Institutions and Financial Services
- 5. Das S C , The Financial System in India: Markets, Instruments, Institutions, Services and Regulations

Desai, Vasant Fundamentals of the Indian Financial System- New Challenges, New 2005 vi Initiatives, Himalaya Publishing House, New Delhi



#### **Insurance Market - I (BF104T)**

<b>Course Code:</b>	BFSI
Paper Code:	BF104T
<b>Course Title:</b>	Insurance Market – I

**Learning Objective:** The objective of this paper is to introduce the student to concept of insurance.

Unit	Details	Contact
		Hours
I	Overview of Insurance Domain in India, Role of insurance companies in an economy	6
II	Types of Insurance, Structure of Indian insurance industry 6	
III	Insurance Development and Regulatory Authority (IRDA): role and functions	6
IV	Insurer – Customer Relationship, Know your customer (KYC) guidelines	6
V	Overview of Basic insurance products and services, life and general insurance	6

**Learning Outcome:** The student will know the structure of insurance sector in India.

#### **Scheme of Examination:**

### **Continuous Assessment (MM: 100)**

The continuous assessment shall be done by the concerned faculty based on mid-term test (40 marks); demonstration / presentation (40 marks) and attendance / punctuality / personality traits (20 marks)

### End term Assessment (MM: 100)

The End Term Assessment of educational component shall be carried out by the University in the form of written examination whose duration shall be 3 hours as per following examination paper pattern:

Section – A: Ten questions of 2 mark each. Student shall be required to attempt all.

Section – B: Eight questions of 10 marks each out of which student shall be required to attempt any Four.

Section – C: Four questions of 20 marks each out of which student shall be required to attempt any two.

### **Suggested Readings:**

- 1. Bajpai, O.P., Elements of Life Insurance, Kitab Mahal, Allahabad
- 2. Agarwala, A .N. Life Insurance in India: A Historical and Analytical Study, Allahabad Law Journal Press, Allahabad
- 3. Bajpai, O.P., Elements of Life Insurance, Kitab Mahal, Allahabad
- 4. Bishnoi, Ashutosh Insurance Products (Including Pension Products), Indian Institute of Banking & Finance, Taxmann, New Delhi
- 5. Jain, Rajiv Insurance Law & Practice, Vidhi Publication (P) Ltd. New Delhi.
- **6.** Kothari, N. S. and Dr. Bahal Pravesh Principles and Practice of Insurance, Sahitya Bhavan, Agra



**Computer Application Lab. (GN102P)** 

Course Code:	BFSI
Paper Code:	GN102P
<b>Course Title:</b>	Computer Application Lab.

**Learning Objective:** The objective of this paper is to enable student to learn the basic computer applications used for communication.

Unit	Details	Contact
		Hours
I	Introduction to Computer: Input and Output Devices, System Software	6
1	and Application Software Computer Language, Compiler and Assembler	U
	Basics of Word Processing: Starting Word Program, Word Screen	
	Layout, Typing Screen Objects, Managing Documents, Protecting and	
	Finding Documents, Printing Documents, Formatting Documents,	
II	Working with text, Copying and Moving Text, Spelling and Grammar,	6
	Page Formatting, Creating Tables, Mail Merge, Types of documents in	
	Mail merge, Creating data Source, Creating Mailing Labels, Merging	
	Data into Main Document.	
	Basics of Spreadsheet Selecting: Adding and Renaming Worksheets,	
III	Modifying a Worksheet, Formatting Toolbar, Formatting Worksheets	6
1111	Using Styles, Protect and Unprotect Worksheets, Formulas, Functions	U
	and Charts Formulas and Functions, Auto Shapes and Smart art	
IV	Creating PowerPoint Presentation: Creating Slides, Slide Sorter View,	6
1 V	Changing Slide Layouts, Moving Between Slides.	Ü
V	Introduction to Internet: Getting Connected to Internet, Types of Internet	
	Connections, Internet Terminology, Understanding Internet Address,	6
	Computer Viruses and Security Threats, Internet protocols (TCP/IP,	6
	FTP, HTTP)	

**Learning Outcome:** Students will be able to perform the basic operation of mass communication through the computer.

### **Scheme of Examination:**

### **Continuous Assessment (MM: 100)**

The continuous assessment shall be done by the concerned faculty based on mid-term test (40 marks); demonstration / presentation (40 marks) and attendance / punctuality / personality traits (20 marks)

### End term Assessment (MM: 100)

The student will prepare and submit a report on a topic / problem under supervision of faculty member to be allotted by Principal / Director of the Institute. The student will make a demonstrative presentation before a panel of concern supervisor and an examiner nominated by assigned by Principal / Director of the Institute.



### Front Office Assistant – Banking (BF1A1S)

<b>Course Code:</b>	BFSI
Paper Code:	BF1A1S
<b>Course Title:</b>	Front Office Assistant – Banking

#### **Scheme of Examination:**

### Continuous Assessment of Skill (on the Job/self) (MM: 100):

The continuous assessment of skill component shall be done by the concerned officer at industry partner based on Viva-voce (40 marks); demonstration / presentation (40 marks); and attendance / punctuality / personality traits (20 marks)

# End term Assessment of Skill - On the Job/Self.: (MM: 100):

The End Term Assessment of skill component shall be carried out by a panel comprising of a faculty nominated by Director / HOD of the college, an officer nominated by industry partner and external examiner appointed by the University.

### Front Office Assistant – Stock Broking (BF1A2S)

<b>Course Code:</b>	BFSI
Paper Code:	BF1A2S
<b>Course Title:</b>	Front Office Assistant – Stock Broking

#### **Scheme of Examination:**

### Continuous Assessment of Skill (on the Job/self) (MM: 100):

The continuous assessment of skill component shall be done by the concerned officer at industry partner based on Viva-voce (40 marks); demonstration / presentation (40 marks); and attendance / punctuality / personality traits (20 marks)

### End term Assessment of Skill – On the Job/Self.: (MM: 100):

The End Term Assessment of skill component shall be carried out by a panel comprising of a faculty nominated by Director / HOD of the college, an officer nominated by industry partner and external examiner appointed by the University.

### Front Office Assistant – Insurance (BF1A3S)

Course Code:	BFSI
Paper Code:	BF1A3S
<b>Course Title:</b>	Front Office Assistant – Insurance

# **Scheme of Examination:**

### Continuous Assessment of Skill (on the Job/self) (MM: 100):

The continuous assessment of skill component shall be done by the concerned officer at industry partner based on Viva-voce (40 marks); demonstration / presentation (40 marks); and attendance / punctuality / personality traits (20 marks)

### End term Assessment of Skill - On the Job/Self.: (MM: 100):

The End Term Assessment of skill component shall be carried out by a panel comprising of a faculty nominated by Director / HOD of the college, an officer nominated by industry partner and external examiner appointed by the University.